

Summary of Internal Audit Service reports issued 1st February 2014 to 27th May 2014

Appendix 1

<u>Sub-Function</u>	<u>Name</u>	<u>Final Issued</u>	<u>Audit Opinion</u>	<u>HI Rec'n</u>
Governance	Risk Management	26/02/14	Substantial	No
Financial Asset	Business Forecasting	27/02/14	Substantial	No
Operational	Fleet Management - Vehicle Operating Licence	28/02/14	Full	No
Operational	Trading Performance & Distribution of Surplus	28/03/14	Full	No
Payroll	Payroll Changes	31/03/14	Substantial	No
Accounting/General Ledger	General ledger reconciliations	31/03/14	Substantial	No
Receivables	Rebates HI Recs f/up	03/04/14	Tested implemented	No
Human Resources	Attendance Management	08/04/14	Substantial	No
Governance	Budget Management	22/05/14	Substantial	No
Operational	Servicing Authority	27/05/14	Substantial	No
Governance	Anti Fraud and Corruption	Draft @ 27/5	<i>Substantial (*)</i>	No
Operational	IT General Controls	Draft @ 27/5	<i>Substantial (*)</i>	No
Operational	Stock Management	Draft @ 27/5	<i>Substantial (*)</i>	No
Receivables	Rebates Income	In progress		
Governance	Energy	In progress		

(*) There is little to doubt the draft opinions will change once the report is finalised

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